



TRANSMITTAL LETTER			Case No. 10000/218
Serial No. 10/728,589	Filing Date December 4, 2003	Examiner TBA	Group Art Unit TBA
Inventor(s) Matthew P. Carter et al.			
Title of Invention BILIARY STENT INTRODUCER SYSTEM			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Letter in duplicate; Information Disclosure Statement; Form PTO-1449; Required Listed References A140-A178; return postcard.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

					Small Entity		or	Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee		Rate	Add'l Fee
Total		Minus			x \$9 =			x \$18 =	
Indep.		Minus			x 43 =			x \$86 =	
First Presentation of Multiple Dep. Claim					+ \$145 =			+ \$290 =	
					Total add'l fee	\$		Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Carmen Matos Michael  
Registration No. 47,793  
Attorney for Applicant  
Customer No. 00757 - Brinks Hofer Gilson Lione

BRINKS HOFER GILSON & LIONE  
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(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on March 2, 2004.

Date: 3/2/04

Signature:



**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

March 2, 2004

Date of Deposit

Carmen Matos Michael

Name of Applicant, Assignee or  
Registered Representative

Signature

March 2, 2004

Date of Signature

Our Case No.: 10000/218

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Matthew P. Carter et al.

Serial No.: 10/728,589

Filing Date: December 4, 2003

For: BILIARY STENT INTRODUCER  
SYSTEM

Examiner:

Group Art Unit No.:

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

<b>Patent No.</b>	<b>Date</b>	<b>Name</b>
3,485,234	12/23/69	Stevens
3,585,707	6/22/71	Stevens
3,612,058	10/12/71	Ackerman
3,657,744	4/25/72	Ersek
4,056,854	11/8/77	Boretos et al.
4,140,126	2/20/79	Choudhury
4,503,569	3/12/85	Dotter
4,516,972	5/14/85	Samson
4,562,596	1/7/86	Kornberg
4,580,568	4/8/86	Gianturco
4,655,771	4/7/87	Wallsten
B1 4,655,771	9/10/96	Wallsten
4,665,771	5/19/87	Mitchell
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4,665,918	5/19/87	Garza
4,676,229	6/30/87	Krasnicki
4,705,511	11/10/87	Kocak
4,732,152	3/22/88	Wallsten
4,733,665	3/29/88	Palmaz
4,733,665 C2	1/29/02	Palmaz
4,768,507	9/6/88	Fischell
4,793,348	12/27/98	Palmaz
4,817,613	4/4/89	Jaraczewski
4,830,003	5/16/89	Wolff et al.
4,842,590	6/27/89	Tanabe
4,875,468	10/24/89	Krauter
4,875,480	10/24/89	Imbert
4,898,591	2/6/90	Jang
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4,950,227	8/21/90	Savin
BI 4,954,126	5/28/90	Wallsten
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5,026,377	6/25/91	Burton
5,037,427	8/6/91	Harada
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5,425,756	6/20/95	Heil et al.
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Pub. No. 2003/0139796	7/24/03	Sequin et al.
U.S. Provisional Appln. Serial No. 60/185,798	2/29/00	Bartholf et al.

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Castellani et al., <i>Resection and Reconstruction of the Carotid Bifurcation with Polytetrafluoroethylene Grafts; Operative Technique</i> , J. Cardiovasc Surg. vol. 32, 1991, pp. 426-435
Cave-Bigley et al., <i>Use of a Ringed Intraluminal Graft in the Operative Management of Abdominal Aortic Aneurysms</i> , J. Surg. vol, 72, Issue 10, 10/1985, pp. 825-827
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Olearchuk et al., <i>Bifurcated ("Y") Internal Thoracic Coronary Artery Grafts</i> , J. Thorac Cardiovasc Surg. vol, 103, issue 3, 3/1992, p. 601

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Chuter et al., <i>Transfemoral Insertion of a Bifurcated Endovascular Graft for Aortic Aneurysm Repair: The First 22 Patients</i> , J. Cardiovasc Surg. vol. 3, issue 2, 4/1995, pp. 121-128
Moore, <i>The Role of Endovascular Grafting Technique in the Treatment of Infrarenal Abdominal Aortic Aneurysm</i> , J. Cardiovas Surg. vol. 3, issue 2, 4/1995, pp. 109-114
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Rollins et al. <i>Self Expanding Metallic Stents: Preliminary Evaluation</i> , Radiology, June 1987, pp. 739-742
Mirich et al., <i>Percutaneously Placed Endovascular Grafts for Aortic Aneurysm</i> , Radiology, March 1989, pp. 1033-1037
Lawrence Jr., et al., <i>Percutaneous Endovascular Graft: Experimental Evaluation</i> , Radiology, May 1987, pp. 357-360
Dobben et al., <i>Prostatic Urethra Dilation with the Gianturco Self-Expanding Metallic Stent</i> , AJR, April 1991, pp. 757-761
Irie et al., <i>Relocatable Gianturco Expandable Metallic Stents</i> , Radiology vol. 178, No. 2, pp. 575-578
Yoshioka et al., <i>Self-Expanding Endovascular Graft: An Experimental Study in Dogs</i> , AJR 151, Oct. 1988, pp. 673-676

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely

filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

In accordance with 37 C.F.R. § 1.97(e)(1), Applicant(s) hereby certify that each item of the information disclosed in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing of the present statement, as evidenced by the date of the enclosed search report.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Carmen Matos Michael", written over a horizontal line.

Carmen Matos Michael  
Registration No. 47,793  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
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Chicago, IL 60610  
(312) 321-4200





FORM PTO-1449	SERIAL NO. 10/728,589	CASE NO. 10000/218
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE December 4, 2003	GROUP ART UNIT
(use several sheets if necessary)	APPLICANT(S): Matthew P. Carter et al.	

**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	3,485,234	12/23/69	Stevens		
	A2	3,585,707	6/22/71	Stevens		
	A3	3,612,058	10/12/71	Ackerman		
	A4	3,657,744	4/25/72	Ersek		
	A5	4,056,854	11/8/77	Boretos et al.		
	A6	4,140,126	2/20/79	Choudhury		
	A7	4,503,569	3/12/85	Dotter		
	A8	4,516,972	5/14/85	Samson		
	A9	4,562,596	1/7/86	Kornberg		
	A10	4,580,568	4/8/86	Gianturco		
	A11	4,655,771	4/7/87	Wallsten		
	A12	B1 4,655,771	9/10/96	Wallsten		
	A13	4,665,771	5/19/87	Mitchell		
	A14	4,665,905	5/19/87	Brown		
	A15	4,665,918	5/19/87	Garza		
	A16	4,676,229	6/30/87	Krasnicki		
	A17	4,705,511	11/10/87	Kocak		
	A18	4,732,152	3/22/88	Wallsten		
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	A23	4,817,613	4/4/89	Jaraczewski		
	A24	4,830,003	5/16/89	Wolff et al.		
	A25	4,842,590	6/27/89	Tanabe		
	A26	4,875,468	10/24/89	Krauter		
	A27	4,875,480	10/24/89	Imbert		
	A28	4,898,591	2/6/90	Jang		
	A29	4,925,445	5/15/90	Sakamoto		

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	A30	4,950,227	8/21/90	Savin		
	A31	BI 4,954,126	5/28/90	Wallsten		
	A32	4,954,126	9/4/90	Wallsten		
	A33	4,969,891	11/13/90	Gewertz		
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	A61	5,290,295	3/1/94	Querals		
	A62	5,290,310	3/1/94	Makower et al.		
	A63	5,306,252	4/26/94	Yutori		
	A64	5,318,586	6/7/94	Ereren		
	A65	5,330,500	7/19/94	Song		
	A66	5,354,308	10/11/94	Simon et al.		
	A67	5,366,442	11/22/94	Wang		
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	A91	5,571,170	11/5/96	Palmaz		

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FORM PTO-1449	SERIAL NO. 10/728,589	CASE NO. 10000/218
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE December 4, 2003	GROUP ART UNIT
(use several sheets if necessary)	APPLICANT(S): Matthew P. Carter et al.	

**REFERENCE DESIGNATION                      U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A92	5,591,197	1/7/97	Orth		
	A93	5,601,600	2/11/97	Ton		
	A94	5,603,698	2/18/97	Roberts		
	A95	5,603,721	2/18/97	Lau		
	A96	5,605,530	2/25/97	Fischell		
	A97	5,620,649	4/15/97	Trotta		
	A98	5,628,788	5/13/97	Pinchuk		
	A99	5,639,278	6/17/97	Dereume et al.		
	A100	5,647,857	7/15/97	Anderson et al.		
	A101	5,649,952	7/22/97	Lam		
	A102	5,653,743	8/5/97	Martin		
	A103	5,665,103	9/9/97	Lafontaine et al.		
	A104	5,674,208	10/7/97	Berg		
	A105	5,690,644	11/25/97	Yurek		
	A106	5,695,499	12/9/97	Helgerson		
	A107	5,697,971	12/16/97	Fischell		
	A108	5,700,269	12/23/97	Pinchuk		
	A109	5,702,418	12/30/97	Ravenscroft		
	A110	5,702,419	12/30/97	Berry et al.		
	A111	5,704,926	1/6/98	Sutton		
	A112	5,707,376	1/13/98	Kavteladze		
	A113	5,709,703	1/20/98	Lukic et al.		
	A114	5,728,158	3/17/98	Lau		
	A115	5,733,325	3/31/98	Robinson et al.		
	A116	5,735,859	4/7/98	Fischell		
	A117	5,735,893	4/7/98	Lau		
	A118	5,755,781	5/26/98	Jayaraman		
	A119	5,772,669	6/30/98	Vrba		
	A120	5,776,140	7/7/98	Cottone		
	A121	5,800,517	9/1/98	Anderson et al.		
	A122	5,833,694	11/10/98	Poncet		

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	A123	5,843,090	12/1/98	Schuetz		
	A124	5,976,153	11/2/99	Fischell et al.		
	A125	5,984,964	11/16/99	Roberts		
	A126	6,019,778	2/1/00	Wilson et al.		
	A127	6,099,497	8/8/00	Adams et al.		
	A128	6,228,110	5/8/01	Munsinger		
	A129	6,287,329	9/11/01	Duerig et al.		
	A130	6,346,118	2/12/02	Baker et al.		
	A131	6,379,365	4/30/02	Diaz		
	A132	6,391,051	5/21/02	Sullivan et al.		
	A133	6,395,017	5/28/02	Dwyer et al.		
	A134	6,425,898	7/30/02	Wilson et al.		
	A135	6,544,278	4/8/03	Vrba et al.		
	A136	6,592,549	7/15/03	Gerdts et al.		
	A137	Pub. No. 2001/0034548	10/25/01	Vrba et al.		
	A138	Pub. No. 2001/0034549	10/25/01	Bartholf et al.		
	A139	Pub. No. 2003/0139796	7/24/03	Sequin et al.		
	A140	U.S. Provisional Appln. Ser. No. 60/185,798	2/29/00	Barthoff et al.		

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
✓	A141	EP 0177330 B1	4/9/86	EPO		
✓	A142	EP 0941716 A2	9/15/99	EPO		
✓	A143	EP 0696447 A2	2/14/96	EPO		
✓	A144	EP 0701800 A1	3/20/96	EPO		
✓	A145	EP 0701800 B1	3/20/96	EPO		

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EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
✓	A146	EP 0657147 A2	6/14/95	EPO	
✓	A147	EP 0657147 A3	6/14/95	EPO	
✓	A148	EP 0657147 B1	6/14/95	EPO	
✓	A149	WO 96/32078	10/17/96	PCT	
✓	A150	WO 97/32623	9/12/97	PCT	
✓	A151	WO 98/14224	4/9/98	PCT	
✓	A152	WO 98/10713	3/19/98	PCT	
✓	A153	WO 95/34255	12/21/95	PCT	
✓	A154	WO 97/17911	5/22/97	PCT	
✓	A155	WO 97/17912	5/22/97	PCT	
✓	A156	WO 97/17913	5/22/97	PCT	
✓	A157	WO 97/26936	7/31/97	PCT	

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.</small>	
	A158	Wilson et al. , <i>A Self-Expanding Bifurcated Endovascular Graft for Abdominal Aortic Aneurysm Repair</i> , An Initial Study in a Canine Model, vol. 42, 9/1996, pp. M386-M393
	A159	Castellani et al., <i>Resection and Reconstruction of the Carotid Bifurcation with Polytetrafluoroethylene Grafts; Operative Technique</i> , J. Cardiovasc Surg. vol. 32, 1991, pp. 426-435
	A160	Cave-Bigley et al., <i>Use of a Ringed Intraluminal Graft in the Operative Management of Abdominal Aortic Aneurysms</i> , J. Surg. vol, 72, Issue 10, 10/1985, pp. 825-827
	A161	Corson et al., <i>Large Diameter Expanded Polytetrafluoroethylene Grafts for Infraarenal Aortic Aneurysm Surgery</i> , J. Cardiovasc Surg., vol. 31, issue 6, 1990, pp. 702-705
	A162	Chuter et al., <i>Infraarenal Aortic Aneurysm Structure: Implications for Transfemoral Repair</i> , J. Vasc Surg. vol. 20, issue 1, 7/1994, pp. 44-50
	A163	Olearchuk et al., <i>Bifurcated ("Y") Internal Thoracic Coronary Artery Grafts</i> , J. Thorac Cardiovasc Surg. vol, 103, issue 3, 3/1992, p. 601
	A164	Van Son et al., <i>Bifurcated ("Y") Internal Thoracic Coronary Artery Grafts</i> , J. Thorac Cardiovas Surg. vol. 106, issue 5, 11/1993, pp. 945-946
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	A165	Cintora et al., <i>A clinical Survey of Aortobifemoral Bypass Using Two Inherently Different Graft Types</i> , Ann Surg. vol. 208, issue 5, 11/1998, pp. 625-630
	A166	Calcagno et al., <i>Late Iliac Artery Aneurysms and Occlusive Disease After Aortic Tube Grafts for Abdoninal Aortic Aneurysm Repair</i> , Ann Surg. vol. 214, issue 6, 12/1991, pp. 733-736
	A167	Chuter et al., <i>Transfemoral Insertion of a Bifurcated Endovascular Graft for Aortic Aneurysm Repair: The First 22 Patients</i> , J. Cardiovasc Surg. vol. 3, issue 2, 4/1995, pp. 121-128
	A168	Moore, <i>The Role of Endovascular Grafting Technique in the Treatment of Infrarenal Abdominal Aortic Aneurysm</i> , J. Cardiovas Surg. vol. 3, issue 2, 4/1995, pp. 109-114
	A169	Chuter et al., <i>Bifurcated Stent-Grafts for Endovascular Repair of Abdominal Aortic Aneurysm</i> , J. Surg. Endosc. vol. 8, issue 7, 7/1994, pp. 800-802
	A170	Manresa et al., <i>Bifurcated Grafts in the Aorto-Femoral Tract</i> , J. Cardiovasc Surg., 1973 pp. 509-514
	A171	Yusef et al., <i>Transfemoral Endoluminal Repair of Abdominal Aortic Aneurysm with Bifurcated Graft</i> , Lancet vol. 344, issue 8923, 9/3/94, pp. 650-651
	A172	Green et al., <i>Evolution of Technologies in Endovascular Grafting</i> , J. Cardiovasc Surg. vol. 3, issue 2, 4/1994, pp. 101-107
	A173	Rollins et al. <i>Self Expanding Metallic Stents: Preliminary Evaluation</i> , Radiology, June 1987, pp. 739-742
	A174	Mirich et al., <i>Percutaneously Placed Endovascular Grafts for Aortic Aneurysm</i> , Radiology, March 1989, pp. 1033-1037
	A175	Lawrence Jr., et al., <i>Percutaneous Endovascular Graft: Experimental Evaluation</i> , Radiology, May 1987, pp. 357-360
	A176	Dobben et al., <i>Prostatic Urethra Dilation with the Gianturco Self-Expanding Metallic Stent</i> , AJR, April 1991, pp. 757-761
	A177	Irie et al., <i>Relocatable Gianturco Expandable Metallic Stents</i> , Radiology vol. 178, No. 2, pp. 575-578
	A178	Yoshioka et al., <i>Self-Expanding Endovascular Graft: An Experimental Study in Dogs</i> , AJR 151, Oct. 1988, pp. 673-676

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